

AIX Regulation and Compliance

Market Notice 2020-07-17_01

AIX Business Rules (as amended and restated)

Date	17/07/2020
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The Astana International Exchange (AIX) hereby gives Notice that AIX Business Rules have been amended and restated and shall come into force as of 20 July 2020.

The introduced amendments envisage:

- (i) Introduction of a new chapter regarding Regional Equity Market Segment (REM Rules);
- (ii) Streamlining of regulation for issuers that have their securities traded on an Equivalent Regulated Exchange;
- Incorporation of the separate AIX Business Rules chapters (including Belt and Road Market Rules, Listed Funds Rules, Pre-IPO Chapter, Mining Company Rules, Green Bonds Rules) into AIX Business Rules as a single document;
- (iv) Enhancement of the existing chapters of AIX Business Rules (clarification, correction of factual errors and inconsistencies, exclusion of redundant or inapplicable provisions); and
- (v) Aligning AIX Business Rules with amendments to AIFC Market Rules adopted by AFSA Board on 1 July 2020.

To read and acknowledge the AIX Business Rules (as amended and restated) please refer to section "<u>Rules and Regulations</u>" on the AIX website. To access the mark-up version please follow this <u>link</u>.

Please contact AIX Regulation and Compliance by phone, +7 (7172) 23 53 77, or email, regulation@aix.kz, for additional information.