AIX Central Securities Depository

Market Notice 2020-06-18_01

AIX CSD electronic statements for cash and securities

Date	18/06/2020

Pursuant to Rule 1.5.6 of AIX CSD Business Rules, AIX CSD hereby informs its Participants as follows:

Participants may receive, for their own use or onward transmission to their clients, the following daily statements for cash and securities in electronic form (ISO 15022):

- Cash Statement (MT950),
- Statement of Securities Holdings (MT535),
- Statement of Securities Transactions (MT536).

To receive the above statements, Participant should present the request by sending MT549 for securities statements and MT920 for cash statements.

The format of MT549 has the following content:

Status	Tag	Field Name	Qualifier	Content/Option	AIX's notes
M	16R	Start of Block	GENL	16c	-
M	20C	Sender's Message Reference	SEME	:4!c//16x	This field specifies the reference assigned by the Sender to unambiguously identify the message.
M	23G	Function of the Message		4!c[/4!c]	This field identifies the function of the message. Usually, function NEWM is used.
M	13A	Requested Message Type	REQU	:4!c//3!c	Number Id must contain one of the following codes: 535 - Statement of Holdings (MT 535); 536 - Statement of Transactions (MT 536).
---→					
M	97A	Account of Participant	SAFE	:4!c//35x	Short code of Participant account or Investor account is filled in this part.
---→					
M	22F	Complete/Updates Indicator	CODE	:4!c/[8c]/4!c	The code COMP should be entered. COMP - Statement gives complete information (including information (positions, instruction statuses) which is unchanged).
M	22F	Statement Frequency Indicator	SFRE	:4!c/[8c]/4!c	The code DAIL should be filled.
-----|					
M	16S	End of Block		16c	GENL
-----|					

Example of MT549:

```
{1:F01ASIHKZ22XXXX0001000001}{2:I103ASIHKZ22AXXXN2}{4:
:16R:GENL
:20C::SEME//001
:23G:NEWM
:98A::STAT//20190101
:13A::REQU//535
:97A::SAFE//T99
:22F::CODE//COMP
:22F::SFRE//DAIL
:16S:GENL
-}
```

The format of MT920 has the following content:

Status	Tag	Field Name	Qualifier	Content/Option	AIX's notes
M	20	Transaction Reference Number		16x	This field specifies the reference assigned by the Sender to unambiguously identify the message.
---→					
M	12	Message Requested		3!n	This field identifies the message type which is being requested.
M	25	Account Identification		35x	This field identifies the account for which the information is requested
-----|					

Example of MT920:

```
{1:F01ASIHKZ22XXXX0001000001}{2:I103ASIHKZ22AXXXN2}{4:
:20:1
:12:950
:25:T99-SETTLEMENT
-}
```

The above statements and requests for statements can be sent by Participants via SWIFT, FASTI or OpenAPI.

If the Participant already has access to the SWIFT data exchange system and plans to use it in communication with AIX CSD, we kindly ask to provide your SWIFT BIC code. The AIX CSD BIC within SWIFT system is ASIHKZ22.

If the Participant already has access to the FASTI data exchange system and plans to use it in its communication with AIX CSD, we kindly ask to provide your FASTI address. The AIX CSD address within FASTI system is F5950400.

Alternatively, as earlier announced, Participants also have the option to use the OpenAPI service in their communication with the AIX CSD. We kindly ask Participants to contact us and you will be provided with all necessary information and procedures.

Should you have any questions in relation to this letter please feel free to contact AIX CSD at ptd@aix.kz.