

## Market Notice 2019-02-13\_01

### AIX 2019 Fee Schedule

<b>Date</b>	13/02/2019
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Astana International Exchange hereby informs Market Participants of the fee schedule applicable for 2019.

#### Fees for issuers of equities

Fee components	Fees
Initial listing fee	\$10,000

#### Initial admissions to trading fees

Market capitalization*	Fees
Up to \$50m	0.06%
\$51m-\$100m	\$50,000
\$101m-\$250m	\$75,000
\$251m-\$700m	\$100,000
Above \$700m	\$200,000

#### Annual admissions to trading fees

Market capitalization*	Fees
Up to \$50m	0.02%
\$51m-\$100m	\$10,000
\$101m-\$250m	\$20,000
\$251m-\$700m	\$30,000
Above \$700m	\$40,000

\*Calculating market capitalisation for admission fees: Multiply the total number of securities for which application(s) is being made by the opening price on the day of admission.

### Fees for issuers of debt

Fee components	Fees
Initial listing fee	\$10,000
Initial admission to trading fee	\$10,000
Annual admission to trading fee	\$10,000

### Fees for brokers

Fee components	Fees
Trade and settlement fee for equity	0.05%, min \$2 per trade /
Trade and settlement fee for debt	0.01%, min \$2 per trade /
Initial membership	\$10,000
Yearly membership*	\$10,000

\* Paid at the beginning of the calendar year or when membership granted (pro rata).

AIX Trading Members and CSD Participants should also refer to the following Market Notices:

- [Market Notice 2018-11-29 01 on External Securities Transfers;](#)
- [Market Notice 2018-12-10 01 on Internal Transfer of Securities between Participants;](#)
- [Market Notice 2018-12-11 01 on AIX CSD Fees for unsettled Transactions;](#)
- [Market Notice 2018-12-12 01 on Securities Conversion;](#)
- [Market Notice 2019-01-09 01 on Yearly Servicing Fees applicable to GDR Holders](#)

In addition, AIX shall pass through to Trading Members and AIX CSD Participants any third-party costs it may incur.