

Market Notice 2019-01-25_01

AIX CSD fees and procedure for issuance of List of Shareholders

Date	25/01/2019
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Pursuant to Rule 1.5.6 of AIX CSD Business Rules, AIX CSD hereby inform on the fees and procedure for issuance of List of Shareholders.

AIX CSD can provide Issuers with the List of Shareholders if the request is received from an authorized person or agent.

Service:	Fees:
1) List of shareholders for the Annual General Meeting	Free once a year
2) List of shareholders for dividend payment	Free once a year
3) Any additional list for <u>LESS than 40 shareholders, for any purpose</u>	1000 USD + any direct cost incurred by AIX CSD
4) Any additional list for <u>MORE than 40 shareholders, for any purpose</u>	5000 USD + any direct cost incurred by AIX CSD

When AIX CSD receive a request to issue a list of shareholders under clauses 1 and 2 (see above table), resulting information is provided to the appointed agent or the issuer.

When AIX CSD receive a request to issue a list of shareholders under clauses 3 and 4 (see above table), the resulting information and invoice are sent to the requester.

Should you have any questions in relation to this letter please feel free to contact AIX Post-Trade Department at ptd@aix.kz.