

## Market Notice 2018-11-13\_04

### AIX New Listing and Conditional Trading Kazatomprom Global Depositary Receipts (GDRs)

<b>Date</b>	13/11/2018
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AIX hereby informs Market Participants that the first day of listing and conditional trading for the Global Depositary Receipts (GDR) of Joint Stock Company National Atomic Company “Kazatomprom” is on Wednesday 14<sup>th</sup> November 2018, as follows:

Security Name	Joint Stock Company National Atomic Company “Kazatomprom”
Symbol (Trading Mnemonic)	KAP.Y
ISIN	US63253R2013
Board	EQTY
Instrument Type	GDR
Currency	USD
Country	Kazakhstan
Reference Price	11.60
Qty Step	1
Trading Volatility Band	10%
Issued Quantity	Conditional
Tradable Quantity	Conditional
Tick Size Table	0.01 USD single tick size
Authorized Capital	n/a
Foreign Ownership Limit	n/a
Paid-up Capital	n/a
Trading Timetable	<p>Please take note of the exceptional opening time for the first day of conditional trading:</p> <p>10:00 Pre-opening  13:00 Opening Auction / Continuous Trading  16:45 Pre-close  17:00 Closing Auction / Trading-At-Last  17:05 Market Close</p>

	<p>Effective Thursday 15<sup>th</sup> November 2018, the default trading schedule applies:</p> <p>11:00 Pre-opening 11:30 Opening Auction / Continuous Trading 16:45 Pre-close 17:00 Closing Auction / Trading-At-Last 17:05 Market Close</p>
<p>Conditional trading is expected to last until Friday 16<sup>th</sup> November, the day when the condition should be satisfied. An additional Market Notice is to be issued on Friday 16<sup>th</sup> informing on the condition being eventually satisfied. If the condition has been satisfied it would also indicate the date of unconditional trading.</p> <p>Market Participants and Custodians should refer to Section 23 of AIX Trading Rules for additional information on conditional trading.</p> <p>The earliest settlement date is Friday 16 November. Rolling settlement is the applicable convention upon which settlement of the conditional trades is to occur.</p> <p>Please contact AIX Market Operations and On-Boarding Department by phone, +77172 64 73 00, or email, <a href="mailto:mktops@aix.kz">mktops@aix.kz</a>, for additional trading information.</p> <p>Please contact AIX CSD by phone, +77172 23 53 02/ + 77172 23 53 79, or email, <a href="mailto:ptd@aix.kz">ptd@aix.kz</a>, for additional settlement information.</p>	